Applications of XAFS to materials and nano – science

<u>Federico Boscherini</u> Department of Physics and Astronomy University of Bologna, Italy federico.boscherini@unibo.it www.unibo.it/faculty/federico.boscherini

Plan

- Introduction
 - Review basics of XAFS
 - Characteristics of the technique
 - Role of XAFS
- Examples of applications, using both
 - results which have "stood the test of time"
 - Why? Because we tend to **forget** work done by others some time ago (or don't bother searching the literature) and **do the measurements again**!

It will get published in the end,
 but not a good use of time & money!

– recent results



X-Ray Absorption Fine Structure





- "EXAFS": Coordination numbers Interatomic distances Disorder of distances
- "XANES": Absorber symmetry and valence/oxidation state Electronic structure of unoccupied states Medium range structure

EXAFS

- <u>Extended X-ray Absorption Fine Structure</u>
- When applicable, fit with the "standard" EXAFS equation





• <u>X</u>-ray <u>A</u>bsorption <u>N</u>ear <u>Edge S</u>tructure (also NEXAFS)

$$\sigma(\hbar\omega) = 4\pi^2 \alpha \hbar \omega |\langle i | \hat{\varepsilon} \bullet \vec{r} | f \rangle|^2 \rho(E_f) \qquad \begin{array}{l} \Delta \ell = \pm 1, \ \Delta m_\ell = 0\\ (\text{lin.pol.light}) \end{array}$$

- "Molecular orbital" approach: 1 electron approximation, constant matrix element: probe site and symmetry projected density of states of final electronic states
- "Multiple scattering" approach: structural interpretation through simulation



Characteristics of XAFS

- Atomic selectivity (choose Z via photon energy)
- Equally applicable to ordered or disordered matter
- A core level technique: a local probe
- Interesting underlying physics
- Sensitive to high dilutions
- EXAFS: high distance resolution
- XANES: 3D structural sensitivity
- Recent developments:
 - Sub µm spot size
 - ns, ps and ... fs time resolution
 - <u>Combined use of XES / RIXS</u>



Role of XAFS in Materials Science

Physical Properties Growth Structure **MBE@TASC** Nakamura et al., Jpn. J. Appl. Phys. 35, L217, 1996 XAFS FR

<u>Objective</u>: an understanding of physical properties of novel materials based on knowledge of their local structure

Today's topics

- Dopants, defects
- Alloys
- Phase transitions
- Thin films, interfaces, surfaces
- Nanostructures
 - Semiconductor dots
 - Metallic clusters



XAFS and dopants/dilute elements

- Only the structure around the photo-excited atom is probed
- Fluorescence detection greatly enhances sensitivity
- Present sensitivity limit (depends on sample)
 - dopants in the bulk
 - EXAFS ~ 10^{18} at/cm³
 - XANES ~ 10^{17} at/cm³

– thin films (single layer) ~ 10^{14} at/cm²



Si in GaAs

S. Schuppler, D.L. Adler, L.N. Pfeiffer, K.W. West, E.E. Chaban, and P.H. Citrin, Appl. Phys. Lett. 63, 2357 (1993) and Phys. Rev. B 51, 10527 (1995)

- Si common dopant in GaAs
- Si:GaAs exhibits deactivation
- Accepted explanation : amphoteric nature of Si
 - Si_{Ga} (Si in Ga site): donor
 - $-Si_{As}$: acceptor
 - Si concentration (1018 cm-3) - At low concentration all Si_{Ga} , at higher concentrations both: autocompensation



Si:GaAs(100) Increasing [Si] Si:GaAs(311)A 1830 1840 1850 1860 Photon energy (eV)

Si in GaAs: XANES

- Samples studied
 - Si:GaAs(001)
 - at low concentration Si_{Ga}
 - Si:GaAs(311)A
 - at low concentration Si_{As}
- XANES exhibit reasonable evolution with concentration
- Difference in lineshape between Si_{Ga} and Si_{As} due to difference in charge on Si and conduction band DOS



Si in GaAs: XANES

 Fitting of Very High concentration sample indicated that
 lineshape cannot be explained only on the basis of combination of Si_{Ga} and Si_{As}





Si in GaAs : EXAFS

- Compare EXAFS spectra with those of Si_xGe_{1-x} random alloys
- Ge has similar scattering amplitude to Ga and As
- VH sample spectrum very similar to Si_{0.2}Ge_{0.8}
 - -20% of Si is bonded to Si
- <u>Conclusion</u>: deactivation due also to presence of <u>Si dimers and clusters</u>

Fe in GaN

A. Bonanni, A. Navarro-Quezada, Tian Li, M. Wegscheider, Z. Matěj, V. Holý, R. T. Lechner, G. Bauer, M. Rovezzi, F. D'Acapito, M. Kiecana, M. Sawicki, and T. Dietl, Phys. Rev. Lett. 101, 135502 (2008)
M. Rovezzi, F. D'Acapito, A. Navarro-Quezada, B. Faina, T. Li, A. Bonanni, F. Filippone, A. Amore Bonapasta, and T. Dietl, Phys. Rev. B 79, 195209 (2009)
A. Navarro-Quezada, W. Stefanowicz, Tian Li, B. Faina, M. Rovezzi, R. T. Lechner, T. Devillers, F. D'Acapito,

• A. Navarro-Quezada, W. Stefanowicz, Itan Li, B. Faina, M. Rovezzi, R. T. Lechner, T. Devillers, F. D'Aca G. Bauer, M. Kiecana, M. Sawicki, T. Dietl, and A. Bonanni Phys. Rev. 81, 205206 (2010)

- Candidate material for spintronic applications
- Grown by Metal Organic Vapor Phase Epitaxy
- Fe concentrations $4 \times 10^{19} \text{ cm}^{-3} - 4 \times 10^{20} \text{ cm}^{-3}$
- Aims:
- Determine the site of Fe in GaN
 - Determine the effect of Si co-dopant
 - Correlate with magnetic properties



Fe:GaN data



Low Fe content:

- only two Fe-N (R₁) and Fe-Ga (R₃) bonds.
- Fe substitutional; bond length in agreement with DFT for Fe³⁺

High Fe content

 Appearance of Fe-Fe (R₂) coming from a precipitated Fe_xN phase

Si,Fe:GaN data



- For the same Fe
 content Si co-doping
 prevents the
 formation of Fe₃N
 - No evidence of the Fe-Fe bond at R₂

Si affects the charge state of Fe



- Si addition causes partial reduction of Fe³⁺ ions to Fe²⁺
- Notable ability of XAFS to determine structure and valence



Role of the growth temperature





• Higher growth temperature favours formation of Fe_xN and α -Fe



Fe:GaN conclusions (2010 paper)

- Magnetization due to various components, including one due to ferromagnetic nanocrystals of ε -Fe₃N, α -Fe, γ -Fe₄N, γ -Fe₂N and γ -Fe
- Si codoping reduces the formation of Fe rich nanocrystals and permits a higher incorporation of Fe.
- Use new term: (Ga,Fe)N nanocomposites, not real doping



Metal precipitates in Si solar cells

Buonassisi et al, Nature Mat. 4, 676 (2005)

- Supply of high purity Si << demand
- Use of lower purity material
- Problem: impurities decrease efficiency
- µ-XRF and µ-XAFS to characterize metal precipitates and suggest processing to improve efficiency



μ-XRF & μ-XAFS: two defects



Processing & characterization



Best process: slow cool (5 °C s⁻¹) from 1200 °C μ m sized, low density precipitates lead to greater carrier diffusion lengths



(µm)

Low Z dopants and XAS

- C, N & O often used as dopants
- Experimentally difficult: low fluorescence yield, soft X-rays, UHV





ALOISA beamline @ ELETTRA



Dilute nitrides: $GaAs_{1-y}N_y$, $In_xGa_{1-x}As_{1-y}N_y$



- Anomalous non-linear optical and electronic proprieties of III-V nitrides
- Red shift of the band gap by adding few % of nitrogen (≈ 0.05-0.1 eV per N atomic percent in InGaAsN)
- Huge and composition dependent optical bowing



Hydrogen – nitrogen complexes in dilute nitrides

• Hydrogenation leads to <u>reversible</u> opening of E_g



photoluminescence





Hydrogen – nitrogen complexes in dilute nitrides

 Which is the hydrogen –nitrogen complex responsible for these changes?

Ga AB BC As $NH_2^*(a)$ BC BC C₂symm BC C_{2v}asymm

Some candidate low energy structures

H-N complexes in dilute nitrides

- G. Ciatto, F. Boscherini, A. Amore Bonapasta, F. Filippone, A. Polimeni and M. Capizzi, Phys. Rev. B 71, 201301 (2005)
- M. Berti, G. Bisognin, D. De Salvador, E. Napolitani, S. Vangelista, A. Polimeni, M. Capizzi, F. Boscherini, G. Ciatto, S. Rubini, F. Martelli, and A. Franciosi, Phys. Rev. B **76**, 205323 (2007)
- G. Ciatto, F. Boscherini, A. Amore Bonapasta, F. Filippone, A. Polimeni, M. Capizzi, M. Berti,
 G. Bisognin, D. De Salvador, L. Floreano, F. Martelli, S. Rubini, and L. Grenouillet, Phys. Rev. B 79, 165205 (2009)
- DFT calculations to determine lowest energy geometries
- Full multiple scattering XANES simulations
- Answer: C_{2v} like complexes are mostly present
- <u>3-D sensitivity of</u> <u>XANES!!</u>





XES now possible, a complementary tool with sensitivity to

- Valence band electronic structure
- Atomic structure
- New level of refinement in x-ray spectroscopy

Combined XAFS and XES

<u>XES</u>

- local VBM unchanged
- decrease of main peak in favor of lower energy states



<u>XAFS</u>

- main peak
 disappears
 and local
 CBM is
 strongly
 blue shifted
- N states move far from the CBM

XAFS and XES simulations



<u>Good news</u>: all spectral features are well reproduced by:

- MS spectral simulations based on DFT atomic structure
- ab-initio DFT simulations of electronic and atomic streuture



XAFS and alloys

- High resolution in probing the local coordination in first few coordination shells
- Study, as a function of composition
 - Deviation of local structure from average structure
 - Atomic ordering



Semiconductor alloys

- For example: $In_xGa_{1-x}As$
- Alloying leads to changes in
 - band-gap
 - lattice parameter



Lattice parameter (Å)

Vegard's law & Virtual Crystal Approximation

- The lattice parameter depends linearly on concentration: "Vegard's law"
- VCA: a linear and isotropic variation of the local structure with concentration
 - All atoms retain symmetric tetrahedral bonding

In_{0.5}Ga_{0.5}As



Bond lengths in In_xGa_{1-x}As

- The high resolution of EXAFS in determining bond lengths (0.01 Å) has shown that they stay close to sum of covalent radii
- Violation of the VCA
- First evidence of strong local structural distortions
- Mikkelsen Jr. and Boyce, Phys. Rev. Lett. 49, 1412 (1982)



Origin of local structural distortions

• Local deformation potential:

 $V(\{R_{ij}\}, \{\theta_{ijk}\}) =$

$$\frac{\alpha}{2} \sum_{ij} (R_{ij} - R_{ij}^0)^2 + \frac{\beta}{8} R_e^2 \sum_{ijk} (Cos \,\theta_{ijk} + \frac{1}{3})^2$$

- For most semiconductors $\alpha \gg \beta$
 - The covalent bond is stiff and directional





$Ga_{1-x}In_xP$ alloys

- C. S. Schnohr, L. L. Araujo, P. Kluth, D. J. Sprouster, G. J. Foran, and M. C. Ridgway, Phys. Rev. **78**, 115201 (2008)
 - 26 years later
 - Much better data
 - More sophisticated analysis
 - Same conclusion!


XAFS and phase transitions

- Measure local structure through the phase transition
- XAFS has highlighted the difference between the real local structure and the average structure



Ferroelectric Phase transitions in PbTiO₃

Sicron, Ravel, Yacoby, Stern, Dogan and Stern, Phys. Rev. B 50, 13168 (1994)



low temp

- At $T_c = 763 \text{ K PbTiO}_3$ undergoes tetragonal to cubic phase transition
- $T < T_c$ it is ferroelectric (permanent dipole moment)
- Phase transition believed to be purely displacive (no local distortion for $T > T_c$)

Ferroelectric Phase transitions in PbTiO₃

- Ti and Pb XAFS data
- "Local lattice parameters" and local distortions do not change at T_c
 - c: sum of R(Ti-O₁)
 - a: calculated from R(Ti-O₂)
- Conclusion
 - local distortions survive above T_c
 - Above Tc random orientation of domains with permanent dipole moment



Phase change mechanism in optical media

Kolobov et al., Nature Materials 3, 703 (2004)

 Phase change optical discs used in DVD-RAMs are based on Ge₂Sb₂Te₅ (GST)



- Writing: appropriate laser pulses induce reversible phase changes from amorphous to crystalline
- Reading: the reflectivity of the two phases is different
- What is associated structural change?

Phase change mechanism in optical media



- Phase change is based on "umbrella flip" of Ge, from octahedral to tetrahedral coordination within Te fcc lattice
 - Three strong Ge Te covalent bonds remain intact
 - Weaker Ge Te bonds are broken by laser pulse
- Phase change in GST is fast and stable because the process does not require rupture of strong bonds or diffusion

Time resolved XAFS of phase change

- Fons et al., Phys. Rev. B 82, 041203 (2010)
 Sub nanosecond time resolved XAFS with μm spot size at SPring-8
- The intensity of the "white line" is different for crystalline, amorphous and liquid phases



Time resolved XAFS of phase change



- White line intensity versus time
 - 100 ps time resolution
- Phase change does not involve melting



XAFS and thin films / interfaces

- With specific detection schemes sensitivity to very thin films achievable
 - Grazing incidence
 - Electron / fluo detection
- Exploit linear polarization of SR to obtain information on
 - Orientation
 - Lattice symmetry



Cubic and hexagonal GaN

- N K-edge XAS to study relative amounts of cubic and hexagonal GaN
- Exploit
 - linear polarization of SR
 - polarization dependence of crosssection
- XAS signal must exhibit (at least) point group symmetry of the crystal
 - T_d: isotropic signal
 - C_{6V} :

$$\sigma^{tot}(E,\theta) = \sigma^{iso}(E) + (3Cos^2\theta - 1)\sigma^1(E)$$





GaN



• Katsikini et. al., APL 69, 4206 (1996); JAP 83, 1440 (1998)



Transitions to π^* molecular orbitals give rise to strong peak



Comelli et al., Phys. Rev. B 38, 7511 (1988)

Use of linear dichroism

• Intensity of peaks related to transitions to π^* orbitals depends on the orientation between the orbital and \vec{E}







C K edge XANES of graphite

- Very clear dependence of peak due to transitions to
 π^{*} orbitals on orientation
- π^* are perpendicular to surface plane
- Rosenberg et al, Phys. Rev. B33, 4034 (1986)



Orientation of molecules on surfaces

- Typical application: determination of the orientation of molecules on single crystal surfaces
- N₂ on Ni(110)
- Molecules are "vertical"



The Fe/NiO(001) interface

P. Luches, V. Bellini, S. Colonna, L. Di Giustino, F. Manghi, S. Valeri, and F. Boscherini, Phys. Rev. Lett. 96, 106106 (2006).



The Fe/NiO(100) interface

Fe



Fe/NiO XANES

deviation from
 metallic character

shift of the white line
towards FeO



Fe/NiO XANES: polarization dependence



Fe/NiO 2 ML thickness





Fe/NiO: atomic structure of interface



• expanded FeO-NiO and FeO-Fe distance



XAFS and nanostructures

- XAFS is a local, short range, effect
 - Origin: core hole lifetime ($t_{bole} = 10^{-16} 10^{-15}$ s) and electron mean free path (5 10 Å).
- Same formalism applies to molecule, cluster or crystalline solid
 - insenstive to variations of morphology
 - sensitive to low thicknesses, high dilutions
- Excellent probe of variations in local environment due to
 - Size effects
 - Change 3D / 2D / 1D



Ge Quantum Dots

F. Boscherini, G. Capellini, L. Di Gaspare, F. Rosei, N. Motta, and S. Mobilio, Appl. Phys. Lett. **76**, 682 (2000)

- Need for understanding of local bonding
- Preparation:
 - Ge/Si(001) by CVD @ 600 °C, Univ.
 Roma Tre
 - Ge/Si(111) by MBE @450 550 °C, Univ. Roma II





Energetics of island formation

- Competing energies:
 - strain
 - surface
 - dislocations

- Contributions from:
- wetting layer
- islands



AFM of Ge dots

- Analysis of aspect ratio provides measurement of relative amount of relaxed islands
- Ge/Si(001): Full range of relaxation examined
 (b)

200

0

50

0

400

nm 200

nm

100-

50-

0-

400

nm 200

400

nm



Quantum Dots: Ge edge XAFS



•Assuming <u>random alloy</u> average composition is Ge_{0.70}Si_{0.30} R ST

Conventional SK growth





SK growth with interdiffusion



Metallic nanostructures

- One of the first applications of XAFS
- Exploits high resolution in first coordination shells



Clusters: bond length contraction



Apai et. al., Phys. Rev. Lett. **43**, 165 (1979)



FIG. 4. Variation in interaction distance as a function of particle size.

Montano et. al., Phys. Rev. Lett. 56, 2076 (1986)

 A bond length contraction has been found for weakly supported metallic clusters (Ni, Cu, Au.....) for d < 100 Å

Bond length contraction

- A macroscopic surface tension interpretation ("liquid drop") can explain the bond length contraction $\frac{\Delta n}{R} = -\frac{2}{2}f\frac{\kappa}{2}$
 - -f surface tension, κ bulk modulus r radius of spherical particle
 - Montano et. al. Phys. Rev. B **30**, 672 (1984) Balerna et. al., Phys. Rev. B **31** 5058 (1985)

Dynamic properties of Au clusters

• In the harmonic approximation, the Mean-Square-Relative-Displacement, σ^2 , damps the EXAFS signal with a term

$$e^{-2k^2\sigma^2}$$

$$\sigma_{0j}^2 = \left\langle \left| \left(\vec{u}_0 - \vec{u}_j \right) \bullet \hat{R}_{0j} \right|^2 \right\rangle$$





Dynamic properties of Au clusters

- As the cluster dimensions decrease an enhacement of σ^2 is evident
- Surface atoms have less motion constraints
- High surface-to-volume ratio for nanoclusters
- Values reproduced by numerical model for free sphere phonon DOS which includes surface modes

Balerna and Mobilio, Phys. Rev. B **34**, 2293 (1986)



High resolution inelastic x-ray scattering at Ti K-edge to study light – induced plasmonic electron transfer processes in Au/TiO₂ nanocomposites



Amidani et al., Angew. Chem. Int. Ed. 54, 5413 (2015)



Motivation





VB



sensitize TiO₂ to visible light by coupling TiO₂ with metallic nanoparticles to exploit the surface plasmon resonace



RIXS @ ID26 ESRF


RIXS @ ID26 ESRF



Differential spectra



Electron transfer from Au to TiO₂



Electron transfer from Au to TiO₂



Evidence for hot electron transfer to Ti sites



More in depth....

- Different «cuts» in the RIXS plane probe the effect of transitions to different final states
- HERFD-I
 - Mainly A3 and B:
 delocalized final states
- HERFD-II
 - Mainly A1 and A2:
 Localized final states



More in depth....

 HERFD-I differential spectra in excellent agreement with «shifted – unshifted»: charge transfer to delocalized states, without change in structure



More in depth....

 HERFD-II differential spectra in not so good agreement with «shifted – unshifted»: charge transfer to localized states involves structural rearrangement



Conclusions on electron transfer in TiO2 NP

- Electron transfer processes from Au NP to TiO2 detected via differential HERFD XANES
- Different «cuts» in the RIXS plane can identify transitions to different final states
- Transitions to delocalized TiO2 states corresponds to simple charge trasfer with no structural rearrangement

Conclusions

- XAFS has been used to address important structural issues in materials/nano science
- It has specific advantages, especially
 - Atomic selectivity
 - Sensitivity to high dilutions & surfaces/interfaces
 - Equally applicable to ordered or disordered matter
 - EXAFS: high resolution for first few coordination shells
 - XANES:
 - valence/oxidation state
 - 3D structural sensitivity
 - μm spot size now available and decreasing fast
 - Time resolution in the 10 -100 ps range available and with FELs decreasing to 10 fs
 - Combined use of XAFS, XES & RIXS

Some useful review works by the author

- F. Boscherini, "XAFS in the study of semiconductor heterostructures and nanostructures" in Characterization of Semiconductor Heterostructures and Nanostructures, 2nd edition, edited by C. Lamberti and G. Agostini. ISBN 978-0-444-59551-5. DOI 10.1016/B978-0-444-59551-5.00007-8. Elsevier, 2013.
- F. Boscherini, "Dopants" in X-ray Absorption Spectroscopy of Semiconductors, edited by C.S. Schnor and M. Ridgway, Springer Series in Optical Sciences 190, 2015; ISBN-10: 3662443619 DOI 10.1007/978-3-662-44362-0_4. Springer, 2015.
- F. Boscherini, "Applications of XAFS to nanostructures and materials science", in Synchrotron Radiation: Basics, Methods and Applications, edited by F. Boscherini, C. Meneghini and S. Mobilio,. ISBN: 978-3-642-55314-1. DOI 10.1007/978-3-642-55315-8_17. Springer, 2015
- G. Ciatto and F. Boscherini, "Structure of nitrogen hydrogen complexes from x-ray and synchrotron radiation techniques" in Hydrogenated dilute nitride semiconductors: theory, properties and applications, edited by G. Ciatto, Pan Stanford Publishing, 2015. ISBN 978-981-4463-45-4
- F. Boscherini, "Semiconductors", in X-Ray Absorption and X-Ray Emission Spectroscopy: Theory and Applications, First Edition. Edited by J. A. van Bokhoven and C. Lamberti. Published 2015 by John Wiley & Sons.

Bologna





What is Bologna famous for?

• University: Alma Mater Studiorum –1088 AD



Guglielmo Marconi (1874 – 1937): radio



Luigi Galvani (1737 – 1798) bioelectricity



Guido Reni (1575 – 1642) painter



Lamborghini cars



Ducati motorbikes R



Mortadella



Tagliatelle al ragù

